



**Asset  
Management**

## **NOTICE CUM ADDENDUM**

### **Addendum to the Scheme Information Document(s)/Statement of Additional Information/ Key Information Memorandum(s) (as applicable) of Scheme(s) of Goldman Sachs Mutual Fund**

**NOTICE** is hereby given that, Goldman Sachs Trustee Company (India) Private Limited, the Trustee to Goldman Sachs Mutual Fund ("Mutual Fund"), has decided to carry out the following changes to Scheme Information Document(s)(SID)/ Key Information Memorandum(s) (KIM) and Statement of Additional Information (as applicable) of Goldman Sachs Mutual Fund:

**(A) Consolidated Account Statement (CAS):**

Pursuant to Regulation 36 of SEBI (Mutual Funds) Regulations, 1996 and amendments thereto, read with SEBI circular No. Cir/ IMD/ DF/16/2011 dated September 8, 2011, the investor whose transaction\*\* has been accepted by the AMC/Mutual Fund **on or after October 1, 2011** shall receive the following:

- (i) On acceptance of the application for subscription, an allotment confirmation specifying the number of units allotted by way of email and/or SMS within 5 Business Days from the date of receipt of transaction request will be sent to the Unit holders registered e-mail address and/or mobile number.
- (ii) Thereafter, a consolidated account statement (CAS) for each calendar month to the Unit holder(s) in whose folio(s) transaction\*\*(s) has/have taken place during the month on or before 10th of the succeeding month shall be sent by mail/e-mail.  
CAS shall contain details relating to all the transactions\*\* carried out by the investor across all schemes of all mutual funds during the month and holding at the end of the month including transaction charges paid to the distributor.  
\*\*The word 'transaction' shall include purchase, redemption, switch, dividend payout, dividend reinvestment, systematic investment plan, systematic withdrawal plan, systematic transfer plan, value averaging investment plan, value averaging transfer plan and bonus transactions.
- (iii) For the purpose of sending CAS, common investors across mutual funds shall be identified by their Permanent Account Number (PAN).
- (iv) In case the folio has more than one registered holder, the first named unitholder will receive CAS/account statement

Further, the CAS detailing holding across all schemes of all mutual funds at the end of every six months (i.e. September/ March), shall be sent by mail/e-mail on or before 10th day of succeeding month, to all such Unit holders in whose folios no transaction has taken place during that period. The half yearly consolidated account statement will be sent by e-mail to the Unit holders whose e-mail address is available, unless a specific request is made to receive in physical form.

In case of a specific request received from the Unit holders, the AMC/Fund will provide the account statement to the investors within 5 Business Days from the receipt of such request.

Note: The CAS shall not be received by the Unit holders for the folio(s) not updated with PAN details. The Unit holders are therefore requested to ensure that the folio(s) are updated with their PAN.

**The statement of holding of the beneficiary account holder for units held in demat will be sent by the respective depository participants periodically.**

**(B) Mailing of Annual Report or Abridged Summary:**

Pursuant to Regulation 56 of SEBI (Mutual Funds) Regulations, 1996 and amendments thereto, read with SEBI circular No. Cir/ IMD/ DF/16/ 2011 dated September 8, 2011, the following provisions with respect to sending annual report of scheme(s) of the Mutual Fund or Abridged Summary thereof will be incorporated in SID and KIM of schemes of the Mutual Fund as applicable:

The scheme wise annual report or an abridged summary thereof shall be mailed/e-mailed to all unitholders not later than 4 months (or such other period as may be specified by SEBI from time to time) from the date of closure of the relevant accounting year (i.e 31st March each year). In case of unitholders who have provided their e-mail address, annual report or an abridged summary thereof will be sent in electronic form only to their e-mail address and not as physical copies. Full annual report/abridged summary thereof shall also be available for inspection at the Head Office of the Mutual Fund. The Unit holder may request for a physical copy of annual report or abridged summary thereof by writing to the Asset Management Company/Registrar and Transfer Agent. Scheme wise annual report and abridged summary thereof shall also be placed on the website of the Mutual Fund ([www.gsam.in](http://www.gsam.in)) and Association of Mutual Funds of India ([www.amfiindia.com](http://www.amfiindia.com)) and link for the same will be displayed prominently on the website of the Mutual Fund ([www.gsam.in](http://www.gsam.in))

The above revision will be implemented prospectively and shall remain in force till further notice. All other terms and conditions of the Scheme Information Document(s)/ Statement of Additional Information/Key Information Memorandum(s) will remain unchanged. The Trustees reserve the right to change/modify the features of above mentioned provisions.

**(C) Addendum to the Scheme Information Document(s) of Goldman Sachs Mutual Fund:**

Pursuant to Regulation 24(2) of the SEBI Regulations, the AMC has obtained a no objection letter from SEBI for providing non-binding investment advisory services to its group companies which may or may not be registered with SEBI as foreign institutional investors ("FII") or their sub-accounts ("Clients") under the SEBI (FII) Regulations, 1995. The AMC confirms that in providing such non-binding advisory services to its Clients there will be no conflict of interest with the activities of the Mutual Fund. Further, Benchmark Asset Management Company Private Limited (BAMC), a wholly owned subsidiary of the AMC, has obtained registration from SEBI vide Registration No. PM/INP000000647 to act as a Portfolio Manager under the SEBI (Portfolio Managers) Regulations, 1993 with effect from June 1, 2002. The portfolio management activities of BAMC are not in conflict with the activities of the Mutual Fund.

This addendum shall form an integral part of the Scheme Information Document(s)/ Statement of Additional Information/Key Information Memorandum(s) of the Scheme(s) of Goldman Sachs Mutual Fund as amended from time to time.

**For Goldman Sachs Asset Management (India) Private Limited  
(Investment Manager for Goldman Sachs Mutual Fund)**

**Sd/-**

**Sanjiv Shah**

**Co-Chief Executive Officer**

**Place: Mumbai  
Date : September 30, 2011**

**Risk Factors: All investments in mutual funds and securities are subject to market risks and the NAV of the schemes may go up or down depending upon the factors and forces affecting the securities/bullion market, respectively, including the fluctuations in the interest rates. There can be no assurance that schemes' investment objectives will be achieved.** The past performance of the mutual funds managed by the Goldman Sachs Group and its affiliates is not necessarily indicative of future performance of the schemes. **Please read the Scheme Information Document and Statement of Additional Information before investing.** NAV of the Scheme will react to the securities market/bullion market movements. Investment in mutual fund units involve investment risk such as trading volumes, settlement risk, liquidity risk, default risk including possible loss of capital. **Statutory Details:** Goldman Sachs Mutual Fund in India has been set up as a trust by Goldman Sachs Asset Management L.P (liability restricted to the seed corpus of Rs. 1 lac) with Goldman Sachs Trustee Company (India) Private Limited as the Trustee (Trustee under the Indian Trusts Act 1882) and with Goldman Sachs Asset Management (India) Pvt. Ltd. as the Investment Manager. The Fund offers NAVs, purchases and redemptions on all business days except during the period when there is a book closure.